

**SCHEDULE B
OFFICE OF THE COMMISSIONER OF
INSURANCE STATE OF NORTH CAROLINA
CUSTODY FEE SCHEDULE AGENT SERVICES DIVISION**



ACCOUNT

MAINTENANCE FEES

Active Accounts Administrative	Fees (\$)	Collection Method	Frequency	Additional comments
\$0.00 - \$25,000	\$160.00	Debit	Annual	
\$25,001 – \$50,000	\$215.00	Debit	Annual	
\$50,001 - \$75,000	\$270.00	Debit	Annual	
\$75,001 and up	\$355.00	Debit	Annual	
Frozen accounts: Administrative (under liquidation/receivership)	\$150.00	Debit	Annual	
Accounts holding First American Mutual Funds Only	Waived	N/A	N/A	With Commissioner's Approval (2)
On Line Usage	Waived	N/A	N/A	
Monthly Statements vs Quarterly	\$120.00	Debit	Annual	

TRANSACTION FEES

	Fees (\$)	Collection Method	Frequency	Additional comments
Security Transaction – Depository eligible	\$35.00	Debit	Per Occurrence	
Security Transaction – Depository ineligible	\$50.00	Debit	Per Occurrence	
Transaction – First American Mutual Fund Holding(per security/asset) – Depository eligible	Waived	N/A	N/A	
Holding (per security/asset) – Depository ineligible	\$25.00	Debit	Annual	
Holding – First American Mutual Fund	\$100.00	Debit	Annual	
Cash distributions (check/ACH)	Waived	N/A	N/A	
Wire Transfers	\$25.00	Debit	Per Occurrence	
Check Stop Payments	\$20.00	Debit	Per Occurrence	
Trust to Trust Transfers (Internal)	Waived	N/A	N/A	
Income Collection	Waived	N/A	N/A	
Account Mergers	Waived	N/A	N/A	
Account Closing (3)	\$100.00	Debit or Check	One-Time	
Research, Inquiries, subsequent to prior 6 months period	\$50.00	Debit	Hourly	
Late Payment Fee	\$50.00	Debit	Per Occurrence	For failure to submit payment within 120 days of mailing date, with Commissioner's prior approval

1. Statutory deposit confirmations/certifications must be requested directly from the North Carolina Department of Insurance.

2. Accounts investing in the First American Treasury Obligations Institutional Invest Class (FLIXX) Money Market Fund only as approved by the Commissioner of Insurance and amended. Administrative and transactions fees are waived and do not apply.

3. Applies to all Agent Service Accounts.